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RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Uwe Karsten et al.  
Serial No.: 09/606,910  
Filed: June 29, 2000  
For: TUMOR VACCINES FOR MUCI-POSITIVE CARCINOMAS  
Attorney Docket No.: 103888-509-NP  
Examiner: Stephen L. Rawlings  
Art Unit: 1642

The U.S. Patent & Trademark Office stamp herein acknowledges receipt of the following:

- Reply to Notice to Comply with a copy of the Notice to Comply (3 pages)
- Petition for Extension of Time (1 page)
- Paper copy of the Sequence Listing (2 pages)
- Substitute Computer Readable Form of the Sequence Listing
- Return Receipt Postcard

Date Mailed: November 30, 2004



**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Mail Stop Sequence, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Nov. 30, 2004  
Date of deposit

Eva Tan  
Eva Tan

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Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REPLY TO NOTICE TO COMPLY**

Dear Sir:

This is responsive to the Notice to Comply mailed on October 21, 2004, a copy of which is enclosed herewith. A petition for one-month extension of time for filing a reply is filed concurrently to extend the time for filing a reply from November 21, 2004 to December 21, 2004.

As required by the Examiner, Applicants submit herewith a substitute computer readable form (CRF) copy of the Sequence Listing and a substitute paper copy of the Sequence Listing. Applicants request that the paper copy of the Sequence Listing be entered into the specification. No new matter has been added. The contents of the paper and CRF copies are the same.

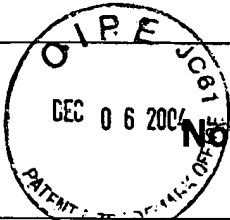
The Commissioner is authorized to charge the extension fee and any other required fees to Goodwin Procter LLP Deposit Account 06-0923.

Respectfully submitted,



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Eva Tan (Reg. No. 46,406)  
GOODWIN PROCTER L.L.P.  
103 Eisenhower Parkway,  
Roseland, NJ 07068  
(973) 992-1990 Ext. 7904



# Notice to Comply

Application No.	Applicant(s)	
09/606,910	KARSTEN ET AL.	
Examiner	Art Unit	
Stephen L. Rawlings, Ph.D.	1642	

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- 7. Other: Because the paper copy and the copy in computer-readable format (CRF) differ, Applicant is required to submit a substitute copy of either one, as appropriate, together with the statement, as indicated below.

### Applicant Must Provide:

- An ~~initial~~<sup>SR</sup> or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An ~~initial~~<sup>SR</sup> or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216  
For CRF Submission Help, call (703) 308-4212  
PatentIn Software Program Support  
Technical Assistance.....703-287-0200  
To Purchase PatentIn Software.....703-306-2600

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY**